

Investor Charter and Disclosure of Complaints

Data for month ending Feb 2024

| SrNo. | Received form | Pending as | Received | Resolved | Total | Pending | Average |
|-------|---------------------|------------|------------|------------|------------|------------|------------|
| | | at the end | during | during | pending | Complaints | Resolution |
| | | of last | particular | particular | during | > 1 month | Time^ |
| | | month | month | month | particular | | (in days) |
| | | | | | month # | | |
| 1 | Directly from | - | - | - | - | - | - |
| | Investors | | | | | | |
| 2 | SEBI (SCORES) | - | - | - | - | - | - |
| 3 | Stock exchanges (if | - | - | - | - | - | - |
| | relevant) | | | | | | |
| 4 | Other sources (if | - | - | - | - | - | - |
| | any) | | | | | | |
| 5 | Grand Total | - | - | - | - | - | - |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current Month divided by total number of complaints resolved in the current month.



Merchant Banker Category I: INM000012607

^{*} Inclusive of complaints of previous months resolved in the current month.

[#] Inclusive of complaints pending as on the last day of the month.



Trend of monthly disposal of complaints for the financial year:

| Sr.No. | Month | Carried forward from previous month | Received during particular month | Resolved during particular month* | Pending at the end of particular month# |
|--------|--------------------|--|---|--|---|
| 1 | April, 2023 | - | - | - | - |
| 2 | May, 2023 | - | - | - | - |
| 3 | June,2023 | - | - | - | - |
| 4 | July,2023 | - | - | - | - |
| 5 | August,2023 | - | - | - | - |
| 6 | September,2023 | - | - | - | - |
| 7 | October,2023 | - | - | - | - |
| 8 | November,2023 | - | - | - | - |
| 9 | December,2023 | - | - | - | - |
| 10 | January,2024 | - | - | - | - |
| 11 | February,2024 | - | - | - | - |
| 12 | March,2024 | | | | |
| | Grand Total | - | - | - | - |

CIN NO.:U67190MH2007PTC176790

NSE/BSE/MSEIL: INZ000187530

SEBI Regd.No.: DP CDSL:IN -DP-163-2015

Merchant Banker Category I: INM000012607



[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current Month divided by total number of complaints resolved in the current month.

^{*} Inclusive of complaints of previous months resolved in the current month.

[#] Inclusive of complaints pending as on the last day of the month.



Trend of annual (Financial year) disposal of complaints (for 3 years on rolling basis):

| Sr.No. | Year | Carried forward from previous year | Received during previous year | Resolved during particular year | Pending at the end of particular year |
|--------|-------------|------------------------------------|-------------------------------|---------------------------------|---------------------------------------|
| 1 | 2021-22 | - | - | - | - |
| 2 | 2022-23 | - | - | - | - |
| 3 | 2023-24 | - | | | |
| | Grand Total | 0 | 0 | 0 | 0 |

CIN NO.:U67190MH2007PTC176790

NSE/BSE/MSEIL: INZ000187530

SEBI Regd.No.: DP CDSL :IN -DP-163-2015

Merchant Banker Category I: INM000012607